

**APPLICATION
FOR CHANGE /
ADD IN DETAIL**

Goldmine Stocks Pvt Ltd

Registered Office : Unit no. 1110 – 1111, 11th Floor, Block no. 53-E, Dalal Street Building
(BSE Tower), Road 5E, Zone-5, Gift City, Gandhinagar- 382355 , Gujarat
Corporate Address / : "Goldmine House", 4, Niranjn Nirakar Society,
Communication Address Nr. Shreyas Railway Crossing, Ahmedabad - 380 007
Tel : + 91 79 2664 1080 | Fax : + 91 79 2660 7298
email : helpdesk@goldmine.co.in | website : www.goldmine.co.in

(DP ID IN 301645)

Client ID:

Trading Code :

Application for : (Please tick relevant box)

- Add/Update Mobile Number Add/Update Email Number Add Aadhar Nmb Electronic Contract Facility
 Change / Add in Bank Details Change of Signature Account Re-activation Additional Demat Linking

First / Sole Holder Name

Mobile								E mail			
UPI ID								DOB			
Mobile No.	My Self <input type="checkbox"/>	Spouse <input type="checkbox"/>	Son <input type="checkbox"/>	Daughter <input type="checkbox"/>	Father <input type="checkbox"/>	Mother <input type="checkbox"/>	Not Available <input type="checkbox"/>				
E-mail ID	My Self <input type="checkbox"/>	Spouse <input type="checkbox"/>	Son <input type="checkbox"/>	Daughter <input type="checkbox"/>	Father <input type="checkbox"/>	Mother <input type="checkbox"/>	Not Available <input type="checkbox"/>				
PAN No.	Aadhar No.										
OCCUPATION											
<input type="checkbox"/> Private Sector			<input type="checkbox"/> Business			<input type="checkbox"/> Self Employed		<input type="checkbox"/> Housewife			
<input type="checkbox"/> Public Sector			<input type="checkbox"/> Professional			<input type="checkbox"/> Retired		<input type="checkbox"/> Student			
<input type="checkbox"/> Government Service			<input type="checkbox"/> Agriculturist			<input type="checkbox"/> Others Please specify; _____					
GROSS ANNUAL INCOME											
Income Range per annum <input type="checkbox"/> Below ₹ 1 lac <input type="checkbox"/> ₹ 1-5 lac <input type="checkbox"/> ₹ 5-10 lac <input type="checkbox"/> ₹ 10-25 lac <input type="checkbox"/> More than ₹ 25 lac											
Net worth in Rupees _____ as on date _____											

Second Holder Name

Mobile								E mail			
UPI ID								DOB			
Mobile No.	My Self <input type="checkbox"/>	Spouse <input type="checkbox"/>	Son <input type="checkbox"/>	Daughter <input type="checkbox"/>	Father <input type="checkbox"/>	Mother <input type="checkbox"/>	Not Available <input type="checkbox"/>				
E-mail ID	My Self <input type="checkbox"/>	Spouse <input type="checkbox"/>	Son <input type="checkbox"/>	Daughter <input type="checkbox"/>	Father <input type="checkbox"/>	Mother <input type="checkbox"/>	Not Available <input type="checkbox"/>				
PAN No.	Aadhar No.										
OCCUPATION											
<input type="checkbox"/> Private Sector			<input type="checkbox"/> Business			<input type="checkbox"/> Self Employed		<input type="checkbox"/> Housewife			
<input type="checkbox"/> Public Sector			<input type="checkbox"/> Professional			<input type="checkbox"/> Retired		<input type="checkbox"/> Student			
<input type="checkbox"/> Government Service			<input type="checkbox"/> Agriculturist			<input type="checkbox"/> Others Please specify; _____					
GROSS ANNUAL INCOME											
Income Range per annum <input type="checkbox"/> Below ₹ 1 lac <input type="checkbox"/> ₹ 1-5 lac <input type="checkbox"/> ₹ 5-10 lac <input type="checkbox"/> ₹ 10-25 lac <input type="checkbox"/> More than ₹ 25 lac											
Net worth in Rupees _____ as on date _____											

Third Holder Name

Mobile								E mail			
UPI ID								DOB			
Mobile No.	My Self <input type="checkbox"/>	Spouse <input type="checkbox"/>	Son <input type="checkbox"/>	Daughter <input type="checkbox"/>	Father <input type="checkbox"/>	Mother <input type="checkbox"/>	Not Available <input type="checkbox"/>				
E-mail ID	My Self <input type="checkbox"/>	Spouse <input type="checkbox"/>	Son <input type="checkbox"/>	Daughter <input type="checkbox"/>	Father <input type="checkbox"/>	Mother <input type="checkbox"/>	Not Available <input type="checkbox"/>				
PAN No.	Aadhar No.										
OCCUPATION											
<input type="checkbox"/> Private Sector			<input type="checkbox"/> Business			<input type="checkbox"/> Self Employed		<input type="checkbox"/> Housewife			
<input type="checkbox"/> Public Sector			<input type="checkbox"/> Professional			<input type="checkbox"/> Retired		<input type="checkbox"/> Student			
<input type="checkbox"/> Government Service			<input type="checkbox"/> Agriculturist			<input type="checkbox"/> Others Please specify; _____					
GROSS ANNUAL INCOME											
Income Range per annum <input type="checkbox"/> Below ₹ 1 lac <input type="checkbox"/> ₹ 1-5 lac <input type="checkbox"/> ₹ 5-10 lac <input type="checkbox"/> ₹ 10-25 lac <input type="checkbox"/> More than ₹ 25 lac											
Net worth in Rupees _____ as on date _____											

Change / Add in Bank Detail :

I/We request you to change/add my/our Bank Details as below.

Current Detail : Bank Name :

A/c. No. :

Branch Address :

Pin Code

MICR

Type : SB / Current / Cash Credit _____

IFSC

New Detail : Bank Name :

A/c. No. :

Branch Address :

Pin Code

MICR

Type : SB / Current / Cash Credit _____

IFSC

Additional Bank : Bank Name :

A/c. No. :

Branch Address :

Pin Code

MICR

Type : SB / Current / Cash Credit _____

IFSC

Documents of All Joint Holders : Cancelled Cheque (original) Self attested copy of Pass-book along with last three months statement or statement

(Show original for Verification) (Sign on photocopies)

Change of Signature

I / We request you to change the signature as below :

Existing Signature:**1st Holder****2nd Holder****3rd Holder**

Name of Account Holder

Current Signature

X

X

X

New Signature:**1st Holder****2nd Holder****3rd Holder**

Name of Account Holder

New Signature

X

X

X

Reason for Change in signature :

Bank Attestation :

Name of Bank :

Name of Bank Manager :

Designation & Emp. Code

Of the Attesting Authority under its Bank Seal and Stamp

Documents of All Joint Holders : (Show original for Verification) (Sign on photocopies)

 Self attested copy of Photo ID Client's new signature should be duly attested by client's Banker. Client should visit personally and affix his/her signature in the presence of officials of Goldmine.

X

(Sole/First Holder)
Authorised Signatory

X

(Second Holder)
Authorised Signatory

X

(Third Holder)
Authorised Signatory

Account Re-Activation

I/We hereby request you to reactivate my/our trading account and treat this as intimation for reopening.

I hereby undertake that I/We have completed all the KYC formalities and submitted all the required documents thereof (Proof of Identity, Address Proof, Bank Proof, PAN, etc.), at the time of opening the trading account originally and enrolling as a client with you.

There are no changes in respect of my Address, Bank account, PAN details and other details as provided to you earlier.

I/We request you to update/change my/our following details in your record as per documents provided.

Change in Address Change in Bank Details Change in Other Details _____ (Pl. Specify)
I do agree to abide by the relevant rules, regulations and circulars issued by exchange(s) and SEBI from time to time.

Additional Demat Linking

Attach Photocopy of required proof and show original for verification.

1. DP ID : _____ DP Name : _____

Client ID : _____ Client Name : _____

2. DP ID : _____ DP Name : _____

Client ID : _____ Client Name : _____

- I/We request you to issue us the transaction statements periodically as per the NSDL guidelines by email to us or on your website for the above demat account with you.
- I/We do not wish to opt for BSDA Account.
- I / We hereby request you to register my / our new address mentioned in KYC / KRA form, enclosed with application for change/add in details for my / our Demat and Trading Account.
- I request you to send my contract notes, statement of account, monthly news letter and all other correspondence related to above Trading Code on my given email ID.

(Sole/First Holder)
Authorised Signatory

(Second Holder)
Authorised Signatory

(Third Holder)
Authorised Signatory

I hereby provide my consent to Goldmine Stocks Pvt Ltd for the following.

- For validating my Aadhaar Number with UIDAI using OTP/Biometric/Demographic Information.
- For updating/linking my Aadhaar number based on PAN given in all accounts where I am a holder (Demat & Trading account) maintained with you and other due diligence purpose in line with PMLA requirements. I have no objection in authenticating with Aadhaar based authentication system through OTP/Biometric/Demographic information.
- I authorize Goldmine Stocks Pvt Ltd and/or its associates to download/share my Aadhaar & associated demographic information (including my updated information) obtained from UIDAI through authentication using OTP/Biometric/Demographic information on my behalf with other SEBI Registered Intermediaries like KRAs, Mutual Funds etc. And also with Central KYC Registry to facilitate single submission/update across all my accounts associated with such intermediaries based on PAN or other key information available with such intermediaries.

Goldmine Stocks Pvt Ltd

'Goldmine House', 4, Niranjana Nirakar Society, Nr. Shreyas Railway Crossing, Ahmedabad 380007 Phone : 26607287-88

Acknowledgement

Received Application for : (Please tick relevant box)

- Add/Update Mobile Number Add/Update Email Number Add Aadhar Number Electronic Contract Facility
 Change / Add in Bank Details Change of Signature Account Re-activation Additional Demat Linking

Client Id : _____ Trading Code _____ Client Name : _____

Date: ___/___/____ Place _____ Signature _____
(Authorised Signatory) (Stamp of the DP)

DD MM YYYY

FATCA/CRS Self Declaration Form**(For DP & Trading)***(Please seek appropriate advice from your professional tax advisor on your tax residency and related to FATCA/CRS guidance)*

To,
Goldmine Stocks Pvt Ltd
 Goldmine House, 4 Niranjana Nirakar Society,
 Near Shreyas Crossing,
 Ahmedabad-380007

UCC Code: _____

Client Id: _____

Part-I Basic Details:

	First Holder	Second Holder	Third Holder
Are you a tax resident of any country other than India?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Country of Citizenship (Nationality)			
Country of Birth			
Place/City of Birth			
US Person	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

Part-II Declaration/Certification:

I/we certify that,

- I/we understand that the Goldmine Stocks Pvt Ltd (Goldmine) is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CRS. The Goldmine is not able to offer any tax advice on FATCA or CRS or its impact on the applicant. I/we shall seek advice from my/our professional tax advisor for any tax questions.
- I/we agree and undertake responsibility to submit a new form within 30 days if any information or certification on this form become incorrect and/or any changes that may place in the information provided in the form.
- I/we agree that as may be required by domestic regulators/tax authorities the Goldmine may also be required to report, reportable details to CBDT, FIU and/or any other regulators/investigation agency/ies or close/suspend my account.
- Further I/we authorise to share the given information to other SEBI registered intermediaries or any regulated intermediaries to facilitate single submission/update and for other relevant purpose.
- I/we have read and understood the information requirements and the terms & conditions mentioned in this form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me/us on this form is true, correct and complete. I hereby agree and confirm to inform Goldmine for any changes/modification on this information promptly.
- I/we shall indemnify the Company for any loss that may arise to the Company on account of providing incorrect or incomplete information.

	First Holder	Second Holder	Third Holder
Name			
PAN			
Date			
Signature			

Part-III:

If for any of the above field, the country mentioned by you is not India and/or if your US Person status is Yes, please provide the tax payer identification number (TIN) or functional equivalent as issued in the specific country in the below table.

	First Holder	Second Holder	Third Holder
1. TIN or Functional equivalent			
Country of Issue			
Identification Type (TIN or other)			
2. TIN or Functional equivalent			
Country of Issue			
Identification Type (TIN or other)			
3. TIN or Functional equivalent			
Country of Issue			
Identification Type (TIN or other)			

(In case of multiple TINs, all TINs details to be mentioned)

In case you are declaring US person status as No but your country of birth is US, please provide document evidencing Relinquishment of Citizenship. If not available provide reasons for not having relinquishment certificate (please also fill Part IV Self-certification)

Reason: _____

- The applicant is (i) an applicant taxable as a US person under the laws of the USA or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the USA,. (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (The clause is applicable only if the account holder is identified as a US person).
- The applicant is an applicant taxable as a tax resident under the laws of country outside India. (This clause is applicable only if the account holder is a tax resident outside of India).

	First Holder	Second Holder	Third Holder
Name			
PAN			
Date			
Signature			

Part-IV Self-Certification:

To be filled only if-

- Name of the country in Part-I is other than India and TIN/Functional equivalent is not available or
- US person is mentioned as Yes in Part-I and TIN is not available

I confirm that I am neither a US person nor a resident for Tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following documents as proof of my citizenship and residency in India

	First Holder	Second Holder	Third Holder
Name			
PAN			
Date			
Signature			

(Passport, Election Id, PAN card, Driving License, UIDAI letter, NREGA Job card, Govt. issued Id card)

Functional Equivalent of TIN includes the following;

A social security/insurance number, citizen/personal identification/services code/national identification number, a resident / population registration number, Alien card number etc.,