



Goldmine Stocks Pvt Ltd

"Goldmine" House, 4, Niranjan Nirakar Society, Nr. Shreyas Railway Crossing,
Ambawadi, Ahmedabad - 380 007. Phone : 079 2668 8218 / 2664 1080

FATCA FORM - INDIVIDUAL

CLIENT ID

UCC Code

Date

FATCA/CRS Self Declaration Form

(For DP & Trading)

(Please seek appropriate advice from your professional tax advisor on your tax residency and related to FATCA/CRS guidance)

To,
Goldmine Stocks Pvt Ltd
Goldmine House, 4 Niranjan Nirakar Society,
Near Shreyas Crossing,
Ahmedabad-380007

Trading Code : _____ Client Id : IN301645 - _____

Part-I Basic Details:

	First Holder	Second Holder	Third Holder
Are you a tax resident of any country other than India?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Country of Citizenship (Nationality)			
Country of Birth			
Place/City of Birth			
US Person	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

Part-II Declaration/Certification:

I/we certify that,

- I/we understand that the Goldmine Stocks Pvt Ltd (Goldmine) is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CRS. The Goldmine is not able to offer any tax advice on FATCA or CRS or its impact on the applicant. I/we shall seek advice from my/our professional tax advisor for any tax questions.
- I/we agree and undertake responsibility to submit a new form within 30 days if any information or certification on this form become incorrect and/or any changes that may place in the information provided in the form.
- I/we agree that as may be required by domestic regulators/tax authorities the Goldmine may also be required to report, reportable details to CBDT, FIU and/or any other regulators/investigation agency/ies or close/suspend my account.
- Further I/we authorise to share the given information to other SEBI registered intermediaries or any regulated intermediaries to facilitate single submission/update and for other relevant purpose.
- I/we have read and understood the information requirements and the terms & conditions mentioned in this form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me/us on this form is true, correct and complete. I hereby agree and confirm to inform Goldmine for any changes/modification on this information promptly.
- I/we shall indemnify the Company for any loss that may arise to the Company on account of providing incorrect or incomplete information.

	First Holder	Second Holder	Third Holder
Name			
PAN			
Date			
Signature			



Goldmine Stocks Pvt Ltd

Part-III:

If for any of the above field, the country mentioned by you is not India and/or if your US Person status is Yes, please provide the tax payer identification number (TIN) or functional equivalent as issued in the specific country in the below table.

	First Holder	Second Holder	Third Holder
1. TIN or Functional equivalent			
Country of Issue			
Identification Type (TIN or other)			
2. TIN or Functional equivalent			
Country of Issue			
Identification Type (TIN or other)			
3. TIN or Functional equivalent			
Country of Issue			
Identification Type (TIN or other)			

(In case of multiple TINs, all TINs details to be mentioned)

In case you are declaring US person status as No but your country of birth is US, please provide document evidencing Relinquishment of Citizenship. If not available provide reasons for not having relinquishment certificate (please also fill Part IV Self-certification)

Reason: _____

- The applicant is (i) an applicant taxable as a US person under the laws of the USA or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the USA,. (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (The clause is applicable only if the account holder is identified as a US person).
- The applicant is an applicant taxable as a tax resident under the laws of country outside India. (This clause is applicable only if the account holder is a tax resident outside of India).

	First Holder	Second Holder	Third Holder
Name			
PAN			
Date			
Signature			

Part-IV Self-Certification:

To be filled only if-

- Name of the country in Part-I is other than India and TIN/Functional equivalent is not available or
- US person is mentioned as Yes in Part-I and TIN is not available

I confirm that I am neither a US person nor a resident for Tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following documents as proof of my citizenship and residency in India

	First Holder	Second Holder	Third Holder
Name			
PAN			
Date			
Signature			

(Passport, Election Id, PAN card, Driving License, UIDAI letter, NREGA Job card, Govt. issued Id card)

Functional Equivalent of TIN includes the following;

A social security/insurance number, citizen/personal identification/services code/national identification number, a resident / population registration number, Alien card number etc.,