



Goldmine Stocks Pvt Ltd

"GOLDMINE HOUSE",
4, Niranjana Nirakar Society,
Near Shreyas Railway Crossing, Ahmedabad - 380 007.
Tel. +91 79 2660 7287 / 88 • Fax : +91 79 2660 7298

DP Id - IN 301645

With your investments all the way...

www.goldmine.net.in

CIN : U65910GJ1994PTC023523

REQUEST FOR NEW INSTRUCTION SLIP BOOK

Please supply ____ delivery instruction book of **10** instruction slips as per the instructions given below.

I/We agree that the instruction book will be despatched by courier/post, or by hand delivery(*) at my/our risk and consequences. I/We shall not hold the DP liable in any manner whatsoever in respect of such dispatch of the instruction

Book to the following address : Reference No. :



SR. NO.:

CLIENT ID:

CLIENT NAME:

ADDRESS:

Please re-confirm your Address & Tel.No,
before handing over the request

(*) Please hand over the delivery Instruction Booklet to my/our representative whose signature is attested below :

Name of the Bearer _____

Signature _____

* Photo Identity of our representative duly Authenticated by me / us.

X _____ **X** _____ **X** _____

Issued slip no. _____ to _____

Entered by _____

Checked & Issued by _____

Important Notes:-

- 1) DIS will be issued in new Format **w.e.f..01/10/2014**. Once new DIS is issued to the client, old format **DIS shall be treated as cancelled and invalid** and the same shall not be accepted by the DP.
- 2) **Existing DIS (Old Format)** shall be in force only till **January 6,2016** only. After that in any case the old format **DIS** will not be accepted even if a client does not have **New Format DIS**.
- 3) **Fill all the Details in the Slip Book including your Phone No..... & do not overwrite.**

GOLDMINE STOCKS PVT LTD

DP ID IN301645

'Goldmine House', 4, Niranjana Nirakar Society, Near Shreyas Rly. Crossing, Ahmedabad - 380 007. Ph. No. : 2660 7287-88

Received Application from Mr./Mrs. _____

being Client Id No. _____ held with us for issuing New Delivery Instruction Slip Book.

Date :

Participant's Stamp & Signature

Tips

- Accept the DIS book from your DP only if each slip has been pre-printed with a serial number along with your demat account number.
- Keep DIS booklet in safe custody. Do not leave your DIS booklet with anyone else.
- Fill in all details and then sign on DIS. Never sign a blank DIS.
- Please strike off unused rows on DIS.
- Avoid over-writing, cancellations and changes in the DIS.
- Know the deadline for submitting the DIS from your DP. You can submit DIS early with a future dated execution date so that shares move from your account only on the execution date.

Go Green Initiative: Save paper and time by availing hassle free NSDL e-services

- IDeAS: Use IDeAS facility to view your holdings and transactions in demat account online.
- SPEED-e: Use SPEED-e facility to submit delivery instructions directly on internet.

Ask your DP or visit <https://eservices.nsdl.com/> for details on above.

List of Reason Codes for Off Market Transfer of Securities in NSDL / Inter Depository System.

Reason Code	Reason / Purpose	Reason Code	Reason / Purpose			Reason Code	Reason / Purpose
1	Off-market Sale	16	Merger / demerger of corporate entity			91	Payout On payment for unpaid securities
2	Margin to Stock broker / PCM	17	Dissolution / Restructuring / winding up of partnership Firm / Trust			92	Gift
3	Margin returned by stock broker / PCM	18	Conversion of Depository Receipt (DR) to underlying securities and vice versa			93	Donation
4	Transfer to Own Account	19	Trust to beneficiaries / On HUF dissolution to Karta & Coparceners			94	Refund of securities by IEPF Authority
12	Buy-Back	88	Transfer between Minor Account and Guardian Account			95	ESOP/Transfer to employee
13	Open Offer for Acquisition	90	Transfer between Partner and Firm, or Director of Company			96	Implementation of Govt./Regulatory Direction/Orders
14	Redemption of Mutual Fund Units	89	Transfer between specifies family members (Family members means relatives as given below)			97	Erroneous Transfer pertaining to Client Securities
15	Transposition Between joint accounts of same holders (To be used for transfer of securities between joint account of same holders different in both accounts)		1. Spouse	2.Father (Inc. Step father	3.Mother (Inc. Step Mother	98	Meeting Legitimate Dues of Stock Broker
			4.Son (Inc. Step Son)	5.Sons wife	6.Daughter		
			7.Daughters Husband	8.Brother (Inc. Step brother)	9.Sister (Inc. Step Sister)		
			10.Members of same HUF				

Dear Investor,

Before you step into the securities market, you are requested to go through carefully and understand the following:

DO's

- Ensure that all details are correctly mentioned in the Client Master Report sent by us after account opening. In case any discrepancy found, report us immediately.
- The demat account has a nomination facility and it is advisable to appoint a nominee.
- Intimate any change of address and/or change in bank account details, Mobile No, Email Address to us immediately in prescribed format with required proofs.
- Check properly both the transaction and holding statement that you receive.
- In case if you are not doing frequent transactions, make use of the freezing facilities provided for your demat account.
- Get registered for NSDL's Internet based facility "Ideas" to monitor your demat account yourself through Internet. You can also view your holding on our Website www.goldmine.net.in. Get your account registered for the same on our website.
- Change your password periodically.
- Maintain secrecy of your password, since you will be solely responsible for all transactions effected from its usage.
- Make sure all details are properly filled in DIS (Demat Instruction Slip) (i.e. ISIN of Securities, execution date etc.) to avoid rejection of your instruction.

- Please strike out any blank space on the slip.
- All the account holder(s) must sign against any sort of cancellations or corrections made on this DIS.
- All Demat account holder(s) must sign on the DIS.
- In case of off-market transactions, mention the applicable Code against each instruction printed in DIS as (Reason for Transfer).
- Keep your DIS Book in safe custody.
- Before sending securities for dematerialization, keep the photocopies of all the Physical certificates sent. In case of bulk certificates, at least keep the detail of Distinctive Numbers, Certificate Numbers and Ledger Folio Numbers.

Dont's

- Delivery Instruction Slip is a very valuable document. Do not leave signed blank delivery instruction slip with anyone.
- Do not reveal your password to any other person.
- Do not sign blank DIS as it is equivalent to a bearer cheque.
- Avoid over-writing, cancellations, misspellings, changing of the name and quantity of securities.

We request you to meticulously follow the above instructions to avoid any problems in operation of your demat account.