



Goldmine Stocks Pvt. Ltd.

Registered Off. Address: P05-01D-01E, 5th Floor, Tower -A, WTC Gift City, Road 5E, Zone 5, Gift City, Gandhinagar 382355.

Correspondence Address: Goldmine House, 4, Niranjani Nirakar Society, Near Shreyas Crossing, Ahmedabad-380007.

Policy for Inactive/Dormant Accounts

Scope:

The scope of this policy is to formulate clear guidelines for identification, reactivation of client codes which has been categorised as dormant/Inactive as per SEBI/exchange guidelines.

Policy for treatment of Inactive/Dormant accounts

In case of any account(s), the term dormant / inactive account refers to such account wherein no transactions/trades have been carried out since last 12 months. The funds / securities of such clients must be returned and the account must be having zero balance. The said clients are not permitted to carry out any fresh transactions/trades in such account.

The process of identifying the dormant/inactive account based on the above mentioned criteria shall be run daily / weekly / monthly basis post the end of the day activities depending on the criteria set by the management from time to time. Account identified as dormant/inactive shall be flagged as dormant/inactive in the trading software, back-office system and respective exchange's UCC data base and also such account restricted for further trading/transaction till the clients is not re-active their account as per process mentioned below.

Process of re-activation of Inactive/Dormant accounts

Client can get the account reactivated by the client after provided sufficient due diligence (including IPV) and also provided the updated information through the any process mentioned below:

- a. Submit reactivation form at any of our branches along with latest documents.
- b. By placing request for re-activation of account through our online facility system.

Date: 02.11.2021