

## Annexure I

### **Advisory – KYC Compliance**

- All investors are requested to take note that 6 KYC attributes i.e. Name, PAN, Address, Mobile Number, Email id and Income Range have been made mandatory. Investors availing custodian services will be additionally required to update the custodian details.
- The last date to update KYC is on or before March 31, 2022.
- Thereafter non-compliant trading accounts will be blocked for trading by the Exchange.
- The non-compliant demat accounts will be frozen for debits by Depository Participant or Depository.
- On submission of the necessary information to the stockbroker and updation of the same by the stockbroker in the Exchange systems and approval by the Exchange, the blocked trading accounts shall be unblocked by the Exchange on T+1 trading day.
- The demat account shall be unfreezed once the investor submits the deficient KYC details and the same is captured by the depository participant in the depository system.
- To ensure smooth settlement of trades, the investors are requested to ensure that both the trading and demat accounts are compliant with respect to the KYC requirement.
- The investors are hereby requested to comply with the regulatory guidelines issued by Exchanges and Depositories from time to time with regard to KYC compliance and related requirements.

**National Stock Exchange Of India Limited****Department : INVESTOR SERVICES CELL**

Download Ref No: NSE/ISC/51035

Date: January 14, 2022

Circular Ref. No: 01/2022

To All Members,

**Updation of mandatory KYC fields by March 31, 2022**

This is with reference to our circular No. NSE/ISC/50864 dated December 31, 2021, wherein it was informed that the final opportunity was provided to update the 6 KYC attributes Name, Complete address (including PIN code No. in case of address of India), PAN, valid Mobile number, Valid email-id, Income details/range. Further, it was also mentioned in the said circular that Investors availing custodian services will be additionally required to update the custodian details. The last date to update above referred KYC attributes for existing clients is on or before March 31, 2022.

In this regard, Trading Members are requested to display the advisory as provided under Annexure I on their respective websites to create awareness about the same.

Further, the advisory has been displayed on the Exchange website under the following path: <https://www.nseindia.com/invest/advisory-kyc-compliance>

It may be noted that non-compliant accounts shall be liable for action after March 31, 2022. The non-compliant client trading accounts shall be blocked from trading by the Exchange w.e.f. April 01, 2022. To ensure smooth settlements, trading members are requested to ensure that the demat accounts mapped with trading accounts are also compliant with the above requirements.

Trading Members are advised to take note and comply with the above guidelines.

**For and on behalf of  
National Stock Exchange of India Limited**

**Dinaz Shroff  
Chief Manager**

<b>Toll Free No</b>	<b>Email id</b>
1800 266 0050 (select option 5)	<a href="mailto:uci@nse.co.in">uci@nse.co.in</a>

## NOTICES

<b>Notice No.</b>	20220114-8	<b>Notice Date</b>	14 Jan 2022
<b>Category</b>	Compliance	<b>Segment</b>	General
<b>Subject</b>	Updation of mandatory KYC fields by March 31, 2022		
<b>Attachments</b>	<a href="#">Annexure I Advisory for KYC updation.pdf</a>		

### Content

This is with reference to our Notice No. 20211231-45 dated December 31, 2021, wherein it was informed that the final opportunity was provided to update the 6 KYC attributes Name, Complete address (including PIN code No. in case of address of India), PAN, valid Mobile number, Valid email-id, Income details/range. Further, it was also mentioned in the said circular that Investors availing custodian services will be additionally required to update the custodian details. The last date to update KYC is on or before March 31, 2022.

In this regard, Trading Members are requested to display the advisory as provided under Annexure I on their respective websites to create awareness about the same.

Further, the advisory shall also be displayed on the Exchange website under the following path:

[https://www.bseindia.com/downloads1/Advisory\\_KYC\\_updation.pdf](https://www.bseindia.com/downloads1/Advisory_KYC_updation.pdf)

It may be noted that non-compliant accounts shall be liable for action after March 31, 2022. The non-compliant client trading accounts shall be blocked from trading by the Exchange w.e.f. April 01, 2022. To ensure smooth settlements, trading members are requested to ensure that the demat accounts mapped with trading accounts are also compliant with the above requirements.

Trading Members are advised to take note and comply with the above guidelines.

In case of any queries, you may please contact at **022-22728435 / 22725785**.

**For and on behalf of BSE Ltd.**

**Hiteshkumar Desai**  
Additional General Manager  
Broker Supervision

**Jyoti Thukral**  
Sr. Manager  
Broker Supervision

Circular No.: NSDL/POLICY/2022/009

January 15, 2022

**Subject: Mandatory updation of certain attributes of KYC of clients**

Attention of Participants is invited to NSDL Circular No. NSDL/POLICY/2021/0036 dated April 07, 2021 regarding mandatory updation of certain attributes of KYC of clients wherein at point no 6 (b) it was mentioned that *"In respect of all existing accounts, Participants must verify that all 6-KYC attributes are updated and wherever required, necessary communication is sent to their clients, to update the same on or before May 31, 2021"*.

Participants were intimated vide Circular No. NSDL/POLICY/2021/0132 dated December 31, 2021 regarding decision to extend the timeline for existing clients to March 31, 2022.

In this context, Participants are requested to display the advisory (copy enclosed) on their respective websites to create awareness about the updation of Mandatory 6 KYC attributes viz. Name, address, PAN, Valid mobile number, Valid email ID and Income Range.

The advisory is also displayed on the Depository website at following link:  
<https://nsdl.co.in/downloadables/pdf/Advisory%20%E2%80%93%20KYC%20Compliance.pdf>

Participants are once again advised to take all possible measures so that the client accounts are compliant before the above mentioned timeline.

For and on behalf of

**National Securities Depository Limited**

**Gayak Jalan  
Manager**

Enclosed : One