

**FATCA/CRS Self Declaration Form (for Individuals)**  
**(For DP & Trading)**

*(Please seek appropriate advice from your professional tax professional on your tax residency and related FATCA & CRS guidance)*

To,

**Goldmine Stocks Private Limited**

Goldmine House

Shreyas Crossing , Ahmedabad—380007.

<b>Client Id</b>		<b>Trading Code</b>	
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**Part I- Please fill in the country for each of the following:**

	<b>First Holder</b>	<b>Second Holder</b>	<b>Third Holder</b>
Country of Birth			
Country of Citizenship			
Country of Residence for Tax purposes			
US Person (Yes/ No)			

**Part II**

a. If in all fields above, the country mentioned by you is India and if you do not have US person Status, please proceed to **Part III** for signature.

b. If for any of the above field, the country mentioned by you is not India and/or if your US person status is Yes, please provide the Tax Payer Identification Number (TIN) or functional equivalent as issued in the specific country in the table below

	<b>First Holder</b>	<b>Second Holder</b>	<b>Third Holder</b>
TIN or Functional equivalent			
Country of Issue			
TIN or Functional equivalent			
Country of Issue			
TIN or Functional equivalent			
Country of Issue			

(In case of multiple TINs, all the TINs to be mentioned)

- In case any of the parameters in Part I indicates that you are a US person or a person resident outside of India for tax purpose and you do not have Taxpayer Identification Numbers/functional equivalent, please complete and sign the Self-Certification section given in Part IV.
- In case you are declaring US person status as 'No' but your Country of Birth is US, please provide document evidencing Relinquishment of Citizenship. If not available provide reasons for not having relinquishment certificate (Please also fill Part IV Self-Certification)

Reason: \_\_\_\_\_

### **Part III- Customer Declaration**

(i) Under penalty of perjury, I/we certify that:

1. The applicant is (i) an applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (This clause is applicable only if the account holder is identified as a US person)

2. The applicant is an applicant taxable as a tax resident under the laws of country outside India. (This clause is applicable only if the account holder is a tax resident outside of India)

(ii) I/We understand that the Goldmine Stocks Pvt Ltd is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CRS. The Goldmine Stocks Pvt Ltd is not able to offer any tax advice on CRS or FATCA or its impact on the applicant. I/we shall seek advice from professional tax advisor for any tax questions.

(iii) I/We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.

(iv) I/We agree that as may be required by domestic regulators/tax authorities the Goldmine Stocks Pvt Ltd may also be required to report, reportable details to CBDT or close or suspend my account.

(v) I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct, and complete including the taxpayer identification number of the applicant.

	<b>First Holder</b>	<b>Second Holder</b>	<b>Third Holder</b>
Signature	<b>X</b>	<b>X</b>	<b>X</b>
Name			
Date			
PAN Number			

### **Part IV- Self-Certification:**

**To be filled only if-**

**(a) Name of the country in Part I is other than India and TIN or functional equivalent is not available, or**

**(b) US person is mentioned as Yes in Part I, and TIN is not available**

I confirm that I am neither a US person nor a resident for Tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and residency in India

	<b>First Holder</b>	<b>Second Holder</b>	<b>Third Holder</b>
Signature	<b>X</b>	<b>X</b>	<b>X</b>
Name			
Date & Place			
PAN Number			

(Passport, Election ID card, PAN Card, Driving License, UIDAI Letter, NREGA Job Card, Govt. Issued ID Card)

### **Functional Equivalent of TIN includes the following:**

A social security/insurance number, citizen/personal identification/services code/national identification number, a resident / population registration number, Alien card number, etc.